

# On the interaction of aspect and ability in two Hindi/Urdu constructions

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# Causal reasoning and causal language

## 'Practical' causal intuitions vs. linguistic causation

- causal reasoning draws on complex networks of relationships: **causal models**
- linguistic causation: typically binary *cause-effect* relations

## An alternative: causal models as discourse parameters

- causal language describes structures in an online language-independent representation
- discourse contributions interact (in familiar ways) with such representations
- model relationships can explicate linguistic effects

(Nadathur & Lauer 2020, Baglini & Bar-Asher Siegal 2021, a.o.)

**Today:** use this approach to shed light on two surprising inference patterns in abilitative constructions

## Two Hindi/Urdu ability constructions

The patterns of interest involve the interaction of **aspect** and **ability**:

### ① Aspectual complex predicates with **le** ('take'):

- (1) a. Anjum gaarīi calaa **le-tii** (hai).  
 Anjum car drive **take-IMPF.F.SG** (be.PRS.SG)  
 'Anjum will/does drive the car.'
- b. Anjum-ne gaarīi calaa **l-ii**.  
 Anjum-ERG car drive **take-PFV.F.SG**  
 'Anjum drove the car.' (deliberately, completely)

Light verb **le** reinforces an episodic interpretation with **perfective** marking, but licenses a **dispositional** (modal) reading in the **imperfective** (Butt 1997)

## Two Hindi/Urdu ability constructions

The patterns of interest involve the interaction of **aspect** and **ability**:

### ② Ability attributions with **sak** ('can'):

- (2) a. Anjum gaarīi calaa **sak-tii** thii (lekin  
 Anjum car drive **can-IMPF.F.SG** be.PST.F.SG (but  
 us-ne gaarīi kabhii nahī̃ chalaayii.)  
 3SG.ERG car sometime NEG drive-PFV.F.SG.

'Anjum could drive the car (but she never drove the car).'

- b. Anjum gaarīi calaa **sak-ii** (#lekin us-ne gaarīi  
 Anjum car drive **can-PFV.F.SG** (#but 3SG-ERG car  
 nahī̃ calaayii)  
 NEG drive-PFV.F.SG

'Anjum was able to drive the car (#but she didn't drive the car).'

'Pure' (unrealized) ability in with the **imperfective**, but **actuality entailments** under **perfective** marking (Bhatt 1999)

## Two Hindi/Urdu ability constructions

The patterns of interest involve the interaction of **aspect** and **ability**:

- In both cases, **perfective** marking flattens a modal meaning which emerges in the **imperfective**
- **Actuality entailments** are a cross-linguistic phenomenon (*English was able*, *French pouvoir*, *Greek boro*, *Spanish poder*, *ser capaz*, ...), making an account from ambiguity unlikely
- The similarity between the **dispositional** and **actualizing** alternations suggests a unified analysis is possible

**Main idea:** abilitative/dispositional readings reflect a **shared causal structure**, with consequences for aspectual composition

# Outline of the talk

- 1 Introduction
- 2 The dispositional complex predicate: towards an analysis
- 3 From standard ability to implicativity
- 4 Implicative structure for the dispositional complex predicate
- 5 Conclusion

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## Light verb constructions

Hindi/Urdu **complex predicates** combine an (uninflected) lexical verb with a **light verb** from a delimited set (Hook 1974, a.o.)

Based on (di)transitives	Based on intransitives
<i>le</i> ('take')	<i>aa</i> ('come')
<i>de</i> ('give')	<i>jaa</i> ('go')
<i>ḍaal</i> ('put')	<i>paṛ</i> ('fall')
<i>maār</i> ('hit')	<i>mar</i> ('die')
<i>nikaal</i> ('pry out')	<i>nikal</i> ('emerge')

Table: Some common light verbs (Butt 1993)

**Aspectual light verbs** carry information about inception/completion and often volitionality (Masica 1976, Butt 1993, Singh 1990, 1998, a.o.)

- (3) a. Anjum-ne gaanaa gaa **ḍaal-aa**  
 Anjum-ERG song sing put-PFV.M.SG  
 'Anjum sang a song (deliberately, forcefully).'
- b. Anjum gaanaa gaa **paṛ-ii**  
 Anjum song sang fall-PFV.F.SG  
 'Anjum fell to singing (spontaneously, involuntarily).'



## The dispositional predicate

Perfective **le** ('take') is often treated as an **aspectual auxiliary**:

- (4) a. Maayaa-ne biskaṭ khaa-**yaa** lekin use puuraa nahī̃  
 Maya-ERG cookie eat-PFV.M.SG but it.ACC whole NEG  
 khaa-**yaa**  
 eat-PFV.M.SG  
 'Maya ate the cookie but did not finish it.'
- b. Maayaa-ne biskaṭ khaa **li-yaa**, #par use puuraa nahī̃  
 Maya-ERG cookie eat **take**-PFV.M.SG, #but it.ACC whole NEG  
 khaa-**yaa**.  
 eat-PFV.M.SG  
 'Maya ate the cookie, #but did not finish it.'

- **Le** appears to introduce a strong **culmination** requirement (plus intentionality)  
 (Singh 1998, Arunachalam & Kothari 2011, Altshuler 2014, Nadathur & Filip 2021)

## The dispositional predicate

The episodic Hindi/Urdu **perfective** contrasts with a **habitual imperfective**: so where does **le**'s dispositional reading come from? (Butt 1997)

- (1a) Anjum gaarīi calaa **le-tii** (hai).  
 Anjum car drive **take-IMPF.F.SG** (be.PRG.SG)  
 'Anjum will/does drive a car.' (*Anjum can and does drive a car*)

- Comparable to **dispositional** (existentially-interpreted) English generics (Lawler 1973)

- (5) My pet toad will eat flies.  
*The toad can and does eat flies (under the right circumstances), but not necessarily in all eating situations, and not necessarily to the exclusion of other foods*

## Characterizing the dispositional reading

The **dispositional complex predicate** (DCP) is particularly appropriate as a counter to *negative expectation*:

- (6) a. acchaa, vo hindi bhii bol-tii hai?  
 yes, she Hindi also speak-IMP.F.SG be.PRS.SG  
 'Oh, she also speaks Hindi?'
- b. hãã hãã, bol le-tii hai. kyũ nahĩ bol-e?  
 yes yes, speak take-IMP.F.SG be.PRS.SG. why NOT speak-SUBJ  
 'Yes, she (can and) does speak Hindi. Why not?' (Butt 1997)
- (7) climate change-kii vajah-se vo aaj-kal gaarii nahĩ calaa  
 climate change-GEN reason-INST 3.SG today-tomorrow car NEG drive  
 rahii hai, lekin bilkul vo gaarii calaa le-tii  
 PROG.F.SG be.PRS.SG, but certainly 3.SG car drive take-IMP.F.SG  
 hai.  
 be.PRS.SG  
 'Due to climate change, she's not driving the car (regularly) these days, but she certainly (can and) does drive the car.' (R. Bhatt)

## Characterizing the dispositional reading

The **DCP** differs from **standard ability** in whether or not the ability is exercised:

- (8) a. Anjum gaarīi calaa **sak-tii** hai, lekin  
 Anjum car drive **can-IMPF.F.SG** be.PRS.SG, but  
 cala-**tii** hii nahī  
 drive-**IMPF.F.SG** only NEG  
 'Anjum can (has the ability) to drive a/the car, but (she) doesn't drive.'
- b. Anjum gaarīi calaa **le-tii** hai, #/??lekin  
 Anjum car drive **take-IMPF.F.SG** be.PRS.SG, #/??but  
 cala-**tii** hii nahī  
 drive-**IMPF.F.SG** only NEG  
 'Anjum (can and) does drive a/the car, #/??but (she) doesn't drive.'

## Characterizing the dispositional reading

The **DCP** and **standard ability** also come apart in conditional constructions:

- (9) a. agar raastaa pakkaa ho, Anjum saikal calaa **le-gii**  
 if road correct be, Anjum cycle drive **take-FUT.F.SG**  
 'If the road is good, Anjum will ride a bicycle.'
- b. ??agar raastaa pakkaa ho, Anjum saikal calaa **sak-egi**  
 if road correct be, Anjum cycle drive **can-FUT.F.SG**  
 'If the road is good, Anjum will be able to ride a bicycle.'

- **(9b) ≠ (9a):**  
 (9a) predicts what Anjum *will* do, (9b) establishes what she's capable of
- The conditional antecedent in (9a) seems to fill in "the right circumstances" under which the target disposition is exercised

*NB:* (9a) shows that the dispositional reading also arises with future marking, further motivating a unified semantic analysis of LV *le*

# Characterizing the dispositional reading

## Generalizations:<sup>1</sup>

- ① The subject (necessarily an agent) has the ability to perform some action (specified by the 'main' predicate)
- ② The agent *chooses* to exercise the ability on a regular or at least predictable basis (hence, *dispositional*)
- ③ The above combination makes the DCP well-suited to negative contexts (emphasizes countering the negative expectation)

**Interim conclusion:** the DCP should be given a modal analysis (potentially involving conditional necessity)

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<sup>1</sup>From Butt (1997), adapted with some carefully-chosen liberties

## Happenstance: insights from Sinhala

Inman (1993) offers a helpful **happenstantial** analysis of the Sinhala **involitive** verb form, which also has a dispositional reading:<sup>2</sup>

- (10) Mahatun atij mee kææmə hoñdətə **hædenəwa**  
 Mahatun ERG this food well **make.INV.PRS**  
 ‘Mahatun makes this food well (as it turns out/unexpectedly).’ **Sinhala**
- (11) Mahatun ye khaanaa acchaa banaa **le-taa** hai.  
 Mahatun this food well make **take-IMPF.M.SG** be.PRS.SG  
 ‘Mahatun (can and) does make this food well.’ **Hindi/Urdu**
- (12) Mahatun **happens** to make this dish well (#but he doesn’t make it well).

Happenstance is cashed out as **doxastic non-necessity**:

- (13)  $[[\text{INV}]]^{w,f,g} := \lambda\phi_{st}.\phi(w) \& \neg\forall w' \in \text{Best}_{ep,nm}(w)[\phi(w')]$
- (10)  $\sim$  *Mahatun makes this food well and there is some world maximally compatible with the speaker’s expectations in which he does not do so.*

<sup>2</sup>INV is used in accidental contexts, but is not anti-volitional; the dispositional use is neutral

# A happenstantial view of the dispositional predicate?

## First pass:

(14)  $[[le]]^w := \lambda\phi.\phi(w) \& \neg\forall w' \in \text{Best}_{ep,nm}(w)[\phi(w')]$

(1a) Anjum gaarii calaa **le-tii** (hai).  
 Anjum car drive **take-IMPF.F.SG** (be.PRS.SG)

‘(As it happens), Anjum (can and) does drive a/the car.’

*Anjum drives the car and there is some world compatible with (my) expectations in which she does not drive the car.*

- **Captures:** the entailment facts, and appropriateness in ‘unexpected’ contexts
- **Does not capture:** inference of ‘conscious choice’ (subject chooses to exercise the disposition)
- **Unclear:** what happens to the (presupposed) conditions of exercise?<sup>3</sup>

<sup>3</sup>Butt (1997): *le* invokes conditional necessity, with a modal base containing “the speaker’s expectations and the conditions under which the subject will perform the given action”



## A happenstantial view of the dispositional predicate?

**Idea:** three changes will help us to get the facts right

- (a) Distinguish the **dispositional target** (associated with main verb) from the **conscious choice** (to exercise ability)
- (b) Condition the dispositional target on the (determinative) choice
- (c) Move modality into **not at-issue** content: this might help to reconcile the dispositional and *completive* (PFV) uses of *le*

- (15) Mahatun didn't happen to make this dish well  
 → *He didn't make it well (and it was possible that he would).*  
 (Not: *He made the dish well and it was certain that he would do so.*)

### Preliminary proposal:

- (16) Given a one-place predicate  $P$  and an agent  $x$ ,  $le(P)(x)$
- a. *Presupposes:* A prior choice  $A(x)$  for  $x$  is **necessary** and **sufficient** to bring about  $P(x)$
  - b. *Asserts:* that  $x$  realized (made choice)  $A(x)$

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## The ability/actuality alternation

The Hindi/Urdu **ability modal sak** licenses **actuality entailments** (Bhatt 1999)

- **imperfective** aspect has a **pure ability** reading

(17) Yusuf havaii-jahaaz uraa **sak-taa**                    thaa,                    lekin  
 Yusuf air-ship                    fly **can-IMPF.M.SG** be.PST.M.SG, but  
 us-ne                    havaii-jahaaz kabhii                    nahĩ uraa-yaa.  
 3SG-ERG air-ship                    sometime NEG fly-PFV.M.SG  
 'Yusuf had the ability to fly planes, but he never flew a plane.'

- **perfective** aspect gives rise to an **actuality entailment**

(18) Yusuf havaii-jahaaz uraa **sak-aa**,                    #lekin us-ne  
 Yusuf air-ship                    fly **can-PFV.M.SG**, #but 3SG-ERG  
 havaii-jahaaz nahĩ uraa-yaa.  
 air-ship                    NEG fly-PFV.M.SG  
 'Yusuf was able to fly the plane, #but he didn't fly the plane.'

(also in French, Greek, Russian, ...)

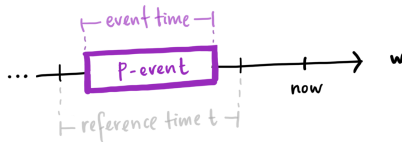
# The problem of actuality

- Ability** is (typically) analyzed as **circumstantial possibility**

$$(19) \quad \llbracket \text{CAN} \rrbracket^{w, \text{circ}} := \lambda P \lambda e. \exists w' \in \text{circ}(w) [P(e)(w')] \quad (\text{cf. Hacquard 2009})$$

- Grammatical **aspect** instantiates an event in relation to reference time

$$(20) \quad \llbracket \text{PFV} \rrbracket := \lambda w \lambda t \lambda P. \exists e [\tau(e) \subseteq t \ \& \ P(e)(w)] \quad (\text{Kratzer 1998})$$



- Composition at best predicts a **bounded time of possibility**

(21) Yusuf **could-PFV** fly the plane

$$\sim \exists e [\tau(e) \subseteq t \{ \prec t^* \} \ \& \ \exists w \in \text{circ}(w^*) [\text{fly-plane}(Y)(e)(w)]]$$

*The relevant past interval contains an event of Yusuf flying a plane in some circumstantially accessible world*

## A starting point: implicative *manage*

**Observation:** actualized **ability** is closer to **managed** than to **did** (Bhatt 1999)

- (18) Yusuf havaii-jahaaz uraa **sak-aa**, #lekin us-ne havaii-jahaaz  
 Yusuf air-ship fly **can-PFV.M.SG**, #but 3SG-ERG air-ship  
 nahĩ uraa-yaa.  
 NEG fly-PFV.M.SG

'Yusuf was able to fly the plane, #but he didn't fly the plane.'

- (22) ≡ Yusuf **managed** to fly the plane, #but he didn't fly the plane

- **Manage** and actualized **ability** also share a **projective inference**:

- (23) a. Anjum **managed** / did not **manage** to ride a bike.

- b. Anjum saikal (nahĩ) calaa **sak-ii**  
 Anjum cycle (NEG) drive **can-PFV.F.SG**

'Anjum was (not) able to ride a bike.'

↪ *cycling was unexpected? abnormal? difficult?*

## Actuality as implicativity?

- **Bhatt's hypothesis:** **ABLE**  $\equiv$  **manage**
- **But:** no **pure ability** reading for **manage**

(24) Yusuf **manages** to fly a plane, #but he never flies a plane.

- ... even in an aspect-marking language (French **réussir**)

(25) Yusuf { **réussissait** / **a réussi** } à piloter un avion,  
 Yusuf { **managed-IMPF** / **managed-PFV** } to fly a plane,  
 #mais il n'a pas piloté d'avion.  
 #but he NEG-has NEG fly-PFV the-plane  
 'Yusuf { used to manage / managed } to fly a plane, #but he did not fly a plane.'

### Actuality entailments as implicative entailments:

- ① Equivalence is analytical, not lexical (**ABLE**  $\neq$  **manage**)
- ② **Manage** seems closer to the **dispositional predicate**

# The implicative semantic template

**Manage** (and **happen**) are semantically bleached members of the class of **implicative verbs** (Karttunen 1971, Baglioni & Francez 2016, Nadathur 2023, a.o.):

## ① Projective prerequisite inference: (not at issue)

- (26) Ria { **dared** / did not **dare** } to open the door.  
 ~> *Opening the door required Ria to act bravely*

## ② Assertion resolves prerequisite status (at issue)

- (27) a. Ria **dared** to open the door. → *Ria acted bravely*  
 b. Ria did not **dare** to open the door. → *Ria did not act bravely*

## ③ Complement entailments are derived as **causal consequences**

- (26a) ~ *Ria's bravery resulted in her opening the door* sufficiency  
 (26b) ~ *Ria's lack of bravery prevented her from opening the door* necessity

## Managing and doing

**Manage to  $P$**  presupposes the existence of a **causal prerequisite** for  $P$

Reasoning about **non-triviality**:  $P$  is non-trivial if you can't **just** do it

- something additional (and prior) is **required** in order to do  $P$   
(*alternatively*: some obstacle must be overcome *en route* to  $P$ ) (Karttunen 2014)
- **causal necessity** and **causal sufficiency** derive complement entailments
- **causal background knowledge** fills in the details in a specific context

**Support for the causal component**: deontic necessity/sufficiency is not enough

(28) *Suppose that Khalid is a U.S. high school junior, but speaker and addressee are not mutually aware of his exact age.*

?Khalid managed to register for selective service.

(cannot convey that he was/became 18 at reference time)



## Implementation: causal network models (Pearl 2000)

**Causal information** is represented using a **directed acyclic graph**  $D$ :

- **nodes** (finite set  $\Sigma$ ): salient propositional variables (can be valued  $u, 0, 1$ )
- **edges**: atomic relations of **causal relevance** ( $P \xrightarrow{\text{c-influences}} Q$ )
- **structural equations**:  $\Theta_D$  specifies how node value depends on its ancestors'  
Function  $\Theta_D$  assigns to each  $X \in \Sigma$  a pair  $\langle Z_X, \theta_X \rangle$  where  $Z_X$  is the set  $X$ 's immediate ancestors,  $\theta_X : \{0, 1\}^{|Z_X|} \rightarrow \{0, 1\}$
- **causal consequences**: of *situation*  $s$  (3-way val. of  $\Sigma$ ) are calculated via  $D, \Theta_D$

**Causal language** refers to particular structural configurations as different causal dependency types (cf. Nadathur & Lauer 2020, Baglini & Bar-Asher Siegal 2021)

- (29) **Informally**: given a situation  $s$  and two *facts*  $\langle C, c \rangle, \langle E, e \rangle$
- $\langle C, c \rangle$  is **causally necessary** for  $\langle E, e \rangle$  iff there's no (consistent) path from  $s$  to  $\langle E, e \rangle$  which does not set  $\langle C, c \rangle$
  - $\langle C, c \rangle$  is **causally sufficient** for  $\langle E, e \rangle$  iff adding  $\langle C, c \rangle$  to  $s$  guarantees  $\langle E, e \rangle$

(see Appendix slides for illustration)

## Interim summary: unpacking implicativity

Three key components work together to derive **implicative inferences**:

- ① **Presupposition**: the existence of an unresolved **jointly necessary & sufficient condition** (or set thereof) for the complement
- ② **Assertion**: determines the truth value of the **necessary & sufficient condition**
- ③ **Modal flavour**: necessity & sufficiency are **causal**

$$\llbracket \textit{manage}(P)(x) \rrbracket^{w,t} := \lambda e. (\iota A_{\text{evt}}. \forall w' \in \text{caus}(w, t) [\text{in}(t, w', A(x)) \leftrightarrow \text{in}(t, w', P(x))]) (e)(w)^a$$

**Recall**: if **actuality entailments** are (analytically) **implicative**, these components should come together in the composition of **ability** and **perfectivity**

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<sup>a</sup>Kaufmann (2013) outlines a procedure for mapping causal information from an SEM to the standard premise semantics format

## Choosy causal semantics for ability

**Basic idea:** **ability** attributing predicates (including *sak*) share the **causal background** of **manage** but differ in asserted content

(30) A statement of the form  $x$  *is able to / can*  $P$

a. *Presupposes:* the existence of some action  $A(x)$  which is **causally necessary/sufficient** for  $P(x)$

b. *Asserts:*  $A$  is in  $x$ 's **choice set** (doing  $A$  is a live option for  $x$ )

- *Background assumption:* agents have **choice sets** (sets of immediately available actions) at given world-time pairs

(31)  $\forall w, t, x[A(x) \in \text{ch}(x, w, t) \rightarrow \exists w' \in \text{circ}(w)[\text{in}(t, w', A(x))]]$   
*Actions in  $x$ 's choice set at  $\langle w, t \rangle$  are possibilities for  $x$  at  $\langle w, t \rangle$*

**Ability** as a **hypothetical guarantee** (cf. Mandelkern et al 2017):

$\llbracket \text{ABLE}(P)(x) \rrbracket^{w,t} :=$

$(\iota A. \forall w' \in \text{caus}(w, t)[\text{in}(t, w', A(x)) \leftrightarrow \text{in}(t, w', P(x))])(x) \in \text{ch}(x, w, t)$

*Agent  $x$  is able to  $P$  at  $\langle w, t \rangle$  iff  $x$  can choose the final cause of  $P(x)$*

## Getting from ability to actuality: an overview

The **ability** semantics make it a special stative: a **dynamic capacity attribution**

(32) Juno is loud/fast/tactful.

*Juno is capable of actions which are loud/fast/tactful.*

**Dynamic capacities** have distinctive interactions with grammatical aspect (key data from French; see Homer 2011, 2021; Nadathur 2023a,c)

- **Imperfective** requires consistency through reference period (non-uniform), but **perfective** is interpreted as **manifestation**

(33) a. Juno **était** rapide.

Juno **was.IMPF** fast

‘Juno was (generally) fast.’

b. Juno **a été** rapide.

Juno **was.PFV** fast

‘Juno was (did something) fast.’

## Getting from ability to actuality: an overview

Enough constructions as **specific abilities** (compare *dare* to *manage*):

(34) Juno **was fast enough** to win the race

~ *Juno can win the race, in view of her capacity for speed*<sup>4</sup>

(35) a. Juno **était assez rapide** pour gagner la course  
 Juno **was-IMPF enough fast** for win the race

'Juno was fast enough to win the race.'

b. Juno **a été assez rapide** pour gagner la course  
 Juno **was-PFV enough fast** for win the race

'Juno ran fast enough to win the race.'

→ *She won*

**Aspectual coercion:** **PFV** selects **eventives** (Moens & Steedman 1988, Bary 2009)

- robust evidence for *inchoative* and *complexive/maximalizing* forms of coercion
- **evidential** coercion (as in 35b) reported previously as *dynamic, actualistic inchoative* (de Swart 1998, Fernald 1999, Homer 2011/2021, Nadathur 2019/2023)

<sup>4</sup> *Juno's actual speed (capacity) is at least as great as the min necessary speed for race-winning* (becomes sufficient as the final necessary complement cause; Nadathur 2023a)

## Getting from ability to actuality: an overview

**Upshot:** if ABLE/*sak* is a **dynamic stative**, PFV-triggered **coercion** levels the contrast with *manage*

- (36) Yusuf gaarīi calaa **sak-aa**  
 Yusuf car drive **can-PFV.M.SG**  
 'Yusuf **managed** to drive the car.'
- Presupposes:* Some action by Yusuf was the final cause of car-driving  
 $\exists A : \forall w' \in \text{caus}(w, t)[\text{in}(t, w', A(Y)) \leftrightarrow \text{in}(t, w', \text{drive-car}(Y))]$
  - Base assertion:* The proximate cause was in Yusuf's (local) choice set  
 $A(x) \in \text{ch}(Y, w, t)$  (stative)
  - With coercion + PFV:* Yusuf chose (acted on) the proximate cause  
 $\text{in}(t, w, A(Y))$
  - Entailed result:** Yusuf drove the car  
 $\text{in}(t, w, \text{drive-car}(Y))$

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## Le as an implicative

Recall the proposal sketch for the **dispositional complex predicate**:

(16) **Proposal sketch:**

Given predicate  $P$  and agent  $x$ ,  $le(P)(x)$  presupposes that some (prior) choice by  $x$  is **necessary** and **sufficient** to **bring about**  $P(x)$ .  $le(P)(x)$  asserts that  $x$  made (acted on) this choice.

(1a) Anjum gaarīi calaa **le-tii** (hai).

Anjum car drive **take-IMPF.F.SG** (be.PRS.SG)

‘Anjum will/does drive the car.’ (Anjum (can and) does drive the car)

This looks a lot like **manage**, or actualized **ability**:

(37)  $[[le(P)(x)]^{w,t}] := \lambda e. (\iota A_{vt} \in \text{ch}(x, w, t). \forall w' \in \text{caus}(w, t)$   
 $[\text{in}(t, w', A(x)) \leftrightarrow \text{in}(t, w', P(x))]) (e)(w)$

$\sim$  Agent  $x$  chooses the proximate cause of  $P(x)$



## Le as an implicative

**Eventives** get **habitual** readings under **imperfective**:

- eventive  $P \mapsto$  predicate of *relevant times* when  $P$  is instantiated
- First pass at HAB: relevance specified via salient pred.  $R$ , which picks up presuppositions of eventive  $P$  (cf. Schubert & Pelletier 1989 on GEN)

$$(38) \quad \llbracket \text{HAB} \rrbracket := \lambda w \lambda t \lambda R \lambda P. \forall t' [t' \subset t \ \& \ R(w)(t')] [\text{in}(t', w, P)]$$

$$(39) \quad \llbracket \text{IMPF}(\text{HAB}(\text{le}(P)(x))) \rrbracket = \\ \lambda w \lambda t. \exists t' [t' \supset t \ \& \ \forall t'' [t'' \subset t' \ \& \ \exists ! A \in \text{ch}(x, w, t). \forall w' \in \text{caus}(w, t'') \\ [\text{in}(t'', w', A(x)) \leftrightarrow \text{in}(t'', w', P(x))]] [\text{in}(t'', w, A(x))]]$$

*All situations in which  $x$  has a choice which is necessary/sufficient for  $P$  are ones in which  $x$  acts on this choice*

- (40) agar raastaa pakkaa ho, Anjum saikal calaa **le-tii** hai  
 if road correct be, Anjum cycle drive **take-IMPF.F.SG** be.PRS.SG  
 'If the road is good, Anjum rides a bicycle.'

*When the road is good, Anjum has a choice which is necessary/sufficient for her to ride a bike, and she makes this choice.*

## Le as an implicative

Eventive **le** predicate combines straightforwardly with **perfective**:

$$(41) \quad \llbracket \text{PFV}(\text{le}(P)(x)) \rrbracket = \exists e[\tau(e) \subseteq t \ \& \ (\iota A \in \text{ch}(x, w, t). \forall w' \in \text{caus}(w, t) \\ \text{[in}(t, w', A(x)) \leftrightarrow \text{in}(t, w', P(x))])](e)(w)]$$

*Agent x had a choice which was causally necessary and sufficient for realizing P within reference time and acted on that choice*

(1b) Anjum-ne gaarii calaa **l-ii**.  
Anjum-ERG car drive take-PFV.F.SG

*'Anjum drove the car.'* (Anjum chose to drive the car)

*Anjum had a choice which was necessary/sufficient for her to drive, and she made this choice (so she drove)*

- **Prediction:** this should only be appropriate in contexts that support the causal presupposition. (Easily accommodated for agentive behaviours)
- In principle, the presupposition contributes to the volitionality effect by establishing that the agent *chose* (acted deliberately) in bringing about *P* ...

## Three complications

- ① If *le*  $\equiv$  *manage*, the following **should share an interpretation**:

(42) a. Anjum managed to open the door.

b. Anjum darvaazaa khol **sak-ii**  
 Anjum door open **can-PFV.F.SG**  
 'Anjum was able to open the door.'

c. Anjum-ne darvaazaa khol **li-yaa**.  
 Anjum-ERG door open **take-PFV.M.SG**  
 'Anjum opened the door.' (Anjum chose to open the door)

- **But:** (42c) seems weaker than (42a) and (42b): *P* is still non-trivial, but easier than *manage* and *sak* suggest
- Two notions of *choice*: (42a) is acceptable if Anjum's causing action was not intended to open the door, but (42c) is not
- **Upshot:** the choice element of *le* predicates is (explicitly) a choice for the dispositional target (not required for *manage/sak* prerequisites)

## Three complications

### ② Complex **le** predicates are **not compatible with negation**<sup>5</sup>

- (43) a. \*us-ne gaanaa nahī̃ gaa **li-yaa**  
 3SG-ERG song NEG sing **take-PFV.M.SG**  
*Intended:* 'He didn't (choose to) sing a song (completely).'
- b. \*vo gaanaa nahī̃ gaa **le-taa**  
 3SG-ERG song NEG sing **take-IMPF.M.SG**  
*Intended:* 'He doesn't/won't (choose to) sing songs.'

- If *le* ≡ *manage*, no explanation for (43)
- An **explanation sketch from Singh (1990)**:  
 Light verbs focus points of inception/completion and instantiate full main predicate event; negation targets the event, so inception/culmination points do not exist

<sup>5</sup>Well-reported previously for *le-* and other light verb perfectives (Singh 1990, Butt 1993).



## Towards a resolution

**Idea:** causal skeleton introduced by *le* merges with event structure of main verb

- **Aspectual light verbs are not clause embedding:** evidence from scrambling (below), adverbial modification, coordination (Butt 1993)

- (44) a. anjum-ne [likh li-yaa] patr.  
 Anjum-ERG [write take-PFV.M.SG] letter  
 'Anjum wrote a letter.'
- b. \*anjum-ne likh patr li-yaa.  
 anjum-ERG write letter take-PFV.M.SG  
 'Anjum wrote a letter.'

- Butt, Isoda & Sells (1990): LVs introduce **transparent event** structures whose arg structure, *Aktionsart* get fused with main pred structure
- Butt (1993): transparent *le*-event is specified for volitional agents, endpoints
- Butt & Ramchand (2005): main and light verb merge into an **accomplishment** structure (containing cause, process, result)

# Towards a resolution

## ① An alternative approach to non-culmination

- **Standard view:** telic  $P$  denotes exclusively culminated events
- **Alternative:**  $P$  takes structure from a **causal model** specifying *causal pathways* for culmination; non-culminated events qualify via partial match to a pathway (Nadathur & Bar-Asher Siegal 2022)
- **Partitive aspects:** non-culminating **PFV** selects 'local' max, culminating req's absolute max (Nadathur & Filip 2021)

## ② Fusion on the implicative approach: let *le* impose a **causal skeleton** where (volitional) initiation is **necessary and sufficient** for culmination

- **Telic** denotations will be **pruned** of non-culminating events; no difference between strong & weak **PFV**
- **Atelic** predicates have **termination conditions**: we get a volitional event brought to an intended conclusion

(45) Anjum-ne    Taa*j* Mahaal-mein naac    **li-yaa**  
 Anjum-ERG Taj    Mahal-in            dance **take-PFV.M.SG.**  
 'Anjum danced (deliberately, completely) in the Taj Mahal.'

# Outline of the talk

- 1 Introduction
- 2 The dispositional complex predicate: towards an analysis
- 3 From standard ability to implicativity
- 4 Implicative structure for the dispositional complex predicate
- 5 Conclusion



## Summary

**Observation:** a parallel in the aspectual behaviour of two ability constructions

- **PFV** in both cases eliminates modality detectable with **IMPF**

**Shared semantics:** *le* and *sak* share **causal background structure** with *manage*

- *Shared presupposition:* action/choice is **causally necessary/sufficient** for target
- *Divergent assertion:* *sak/ABLE* asserts capacity (stative), *manage/le* realizes cause (eventive)
- Modal 'flattening' is an illusion: aspectual effects are predicted by *Aktionsart*

**Implicativity and event structure:**

- Aspectually and structurally: *le* ~ *manage*, **but** *le* fuses with embedded predicate
- **Looking ahead:** 'true' implicatives vs. 'implicative' light verbs may offer support for a complex causal view of event structure (Baglini & Bar-Asher Siegal 2021, Nadathur & Bar-Asher Siegal 2022)

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## Appendix: Structural equation models for implicatives

**Causal information** is represented using a **directed acyclic graph**  $D$ :

- **nodes** (finite set  $\Sigma$ ): salient prop. variables (can be valued  $u, 0, 1$ )
- **edges**: atomic relations of **causal relevance** ( $P \xrightarrow{\text{c-influences}} Q$ )
- **structural equations**: specify how nodes' values are determined from their ancestors'

Function  $\Theta_D$  assigns to each  $X \in \Sigma$  a pair  $\langle Z_X, \theta_X \rangle$  where  $Z_X$  is the set  $X$ 's immediate ancestors,  $\theta_X : \{0, 1\}^{|Z_X|} \rightarrow \{0, 1\}$

- **causal consequences**: of a *situation*  $s$  (3-way valuation of  $\Sigma$ ) are calculated using  $D$  and  $\Theta_D$

### In lexical semantics:

Causal language refers to (predicates, presupposes) particular structural configurations (*necessity, sufficiency*) as different causal dependency types (cf. Nadathur & Lauer 2020, Baglini & Bar-Asher Siegal 2021)

## Appendix: Structural equation models for implicatives

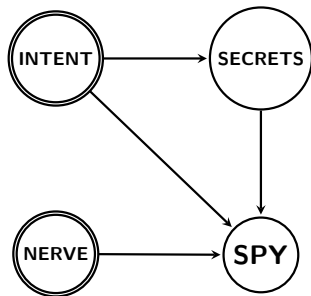
**Background.** Captain Dreyfus was wrongly accused of spying for the Germans.

**Relevant causal dependencies:**

- 1 Collecting secrets requires treasonous intent
- 2 Spying (sharing secrets) requires treasonous intent, secret collection, risk-taking

**A causal model for the Dreyfus affair:**

(finite graph + structural equations)

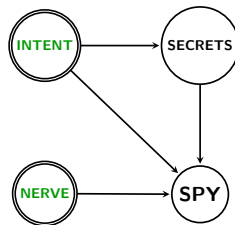


- 1  $SECRETS := INTENT$
- 2  $SPY := INTENT \wedge SECRETS \wedge NERVE$

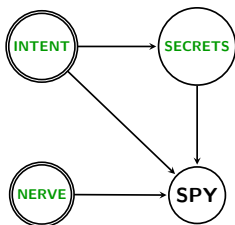
## Appendix: Structural equation models for implicatives

Use background information to reason out causal consequences:

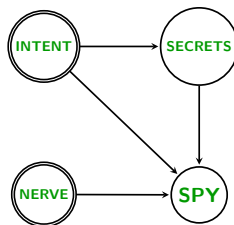
If **INTENT**, **NERVE** are **on**:



**INTENT** turns **SECRETS** **on**:



Which turns **SPY** **on** in turn:

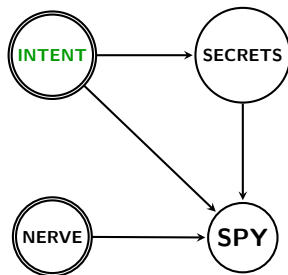


## Appendix: Structural equation models for implicatives

**Causal necessity, sufficiency** are labels for different structural configurations:

- given a background situation  $s$ , a cause  $C$  is **causally necessary** for an effect  $E$  iff there's no (consistent) path from  $s$  to  $E$  which does not flip  $C$

If we know that **INTENT** is **on**,  
**NERVE** is **necessary** for **SPY**



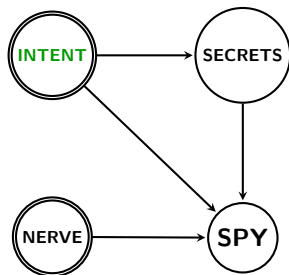
- $\text{SECRETS} := \text{INTENT}$
- $\text{SPY} := \text{INTENT} \wedge \text{SECRETS} \wedge \text{NERVE}$

## Appendix: Structural equation models for implicatives

**Causal necessity, sufficiency** are labels for different structural configurations:

- given a background situation  $s$ , a cause  $C$  is **causally sufficient** for an effect  $E$  iff adding  $C$  to  $s$  guarantees  $E$

If **INTENT** is **on**,  
**NERVE** is **sufficient** for **SPY**



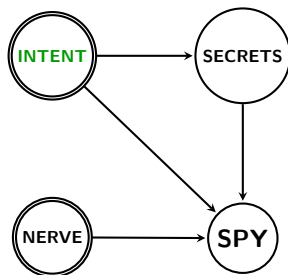
- 1 **SECRETS** := **INTENT**
- 2 **SPY** := **INTENT**  $\wedge$  **SECRETS**  $\wedge$  **NERVE**

## Appendix: Structural equation models for implicatives

**Causal necessity, sufficiency** are labels for different structural configurations:

- given a background situation  $c$ , a cause  $C$  is **causally sufficient** for an effect  $E$  iff adding  $C$  to  $c$  guarantees  $E$

If **INTENT** is **on**,  
**NERVE** is **sufficient** for **SPY**

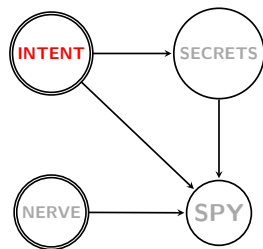


This is the right kind of context for **dare**:

- (46) a. Dreyfus **dared** to spy for the Germans.  
 b. Dreyfus did not **dare** to spy for the Germans.



## Appendix: Structural equation models for implicatives



In actuality, Dreyfus was loyal to France:

(46a) ??Dreyfus **dared** to spy.

*requires:* **NERVE** is **causally necessary, sufficient** for **SPY**

**in context:** **NERVE** is insufficient

(47) ??Dreyfus **managed** to spy.

*requires:* condition/s jointly **causally necessary, sufficient** for **SPY**

**in context:** no set of sufficient conditions